

FORM PTO-1380 (rev. 12/04) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER <b>71,051-004</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/526729</b>
INTERNATIONAL APPLICATION NO. <b>PCT/JP2003/011458</b>	INTERNATIONAL FILING DATE <b>08 September 2003 (08.09.2003)</b>	PRIORITY DATE CLAIMED <b>11 September 2002 (11.09.2002)</b>
TITLE OF INVENTION: <b>ORGANOPOLYSILOXANE-MODIFIED POLYSACCHARIDE AND PROCESS FOR PRODUCING THE SAME</b>		
APPLICATION(S) FOR DO/EO/US: <b>OKAWA, Tadashi and HAYASHI, Masayuki</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include Items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US had been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))               <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <b>Courtesy copy</b></li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application into English (35 U.S.C. 371(c)(2)).               <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))               <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made</li> </ol> </li> <li>8. <input type="checkbox"/> A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A English language translation of the annex to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11 to 20 below concern other document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included</li> <li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English Language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: <u>Express Mail Certificate, Label No. EV 618893282US, Post Card, Checks for filing fees</u></li> </ol>		

U.S. APPLICATION NO. (if known see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/526729		PCT/JP2003/011458		71,051-004	
The following fees are submitted				CALCULATIONS PTO USE ONLY	
21	<input checked="" type="checkbox"/>	Basic national fee..... \$300.00		\$300.00	
22	<input checked="" type="checkbox"/>	Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100.00 All other situations..... \$200.00		\$200.00	
23	<input checked="" type="checkbox"/>	Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100.00 International Search Report prepared and provided to the Office..... \$400.00 All other situations..... \$500.00		\$500.00	
TOTAL OF 21, 22 AND 23 =				\$1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
41 -100+	/50 =		X \$250	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	16 - 20 =		X \$ 50.00	\$0.00	
Independent claims	2 - 3 =		X \$200.00	\$0.00	
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$0.00	
TOTAL OF ABOVE CALCULATION =				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$40.00	
TOTAL FEES ENCLOSED =				\$1,040.00	
				Amount to be: refunded	\$
				Charged	\$
a. <input checked="" type="checkbox"/> Checks in the amount of \$1,000.00 and \$40.00 to cover the above fees are enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-2789. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:			SIGNATURE		
David M. LaPrairie			David M. LaPrairie		
HOWARD & HOWARD ATTORNEYS, P.C.			Name		
Suite 101			46,295		
39400 Woodward Avenue			REGISTRATION NUMBER		
Bloomfield Hills, MI 48304-5151					
(248) 645-1483					
Customer No. 27305					

PATENT COOPERATION TREATY  
UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant :** OKAWA, Tadashi et al.

**Int. App. Serial No.:** PCT/JP2003/011458

**Group Art Unit:** UNKNOWN

**Atty. No. :** 71,051-004

**Examiner:** UNKNOWN

**Filed :** Herewith

**Title :** ORGANOPOLYSILOXANE-MODIFIED POLYSACCHARIDE  
AND PROCESS FOR PRODUCING THE SAME

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**EXPRESS MAIL CERTIFICATE**

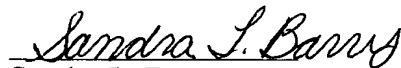
“Express Mail” Label Number: EV 618893282 US

Date of Deposit: March 4, 2005

I hereby certify that a copy of the following attached papers or fees:

- TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING  
A FILING UNDER 35 U.S.C. 371 (2 PAGES)
- INTERNATIONAL APPLICATION PUBLISHED UNDER THE PCT AND  
INTERNATIONAL SEARCH REPORT
- APPLICATION ENGLISH LANGUAGE TRANSLATION
- PRELIMINARY AMENDMENT
- COMBINED DECLARATION AND POWER OF ATTORNEY
- ASSIGNMENT AND RECORDATION FORM COVER SHEET
- CHECKS IN THE AMOUNT OF \$1,000.00 AND \$40.00
- POSTCARD

Are being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and are addressed to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

  
Sandra L. Barry